# ADVERTISEMENT FOR ENGINEERING AND RELATED SERVICES DECEMBER 1, 2021

#### CONTRACT NO. 4400023101 IDIQ CONTRACT FOR LOUISIANA WATERSHED INITATIVE/ STATE PROJECTS PROGRAM (LWI-SSP) – GROUP 1 BEAUREGARD, VERNON AND ST. LANDRY PARISHES

Under the authority granted by Title 48 of Louisiana Revised Statutes, the Louisiana Department of Transportation and Development (DOTD) hereby issues this advertisement for consulting firms to provide engineering and related services. Consultants who are a Louisiana or foreign LLC or corporation should be appropriately registered with the Louisiana Secretary of State, as contemplated by Title 12 of the Louisiana Revised Statutes, and with the Louisiana Professional Engineering and Land Surveying (LAPELS) Board under its rules for firms. If a consultant is not in good standing in accordance with those provisions, it may be subject to consequences contemplated in Title 12 and/or the LAPELS rules. All requirements of LAPELS must be met at the time the proposal is submitted. Prime consultants must be registered with the Louisiana Secretary of State and the Federal Government, using SAM.gov, prior to contract execution.

One (1) proposal will be selected for each contract solicited per this advertisement. Only one (1) DOTD Form 24-102 proposal is required for this advertisement, and it represents the prime consultant's qualifications and those of any and all sub-consultants proposed to be used for the referenced contract(s). All identifying contract number(s) should be listed in Section 2 of the DOTD Form 24-102.

Any questions concerning this advertisement must be sent in writing to <u>DOTDConsultantAds80@la.gov</u> no less than 48 hours (excluding weekends and holidays) prior to the proposal deadline.

#### **SCOPE OF SERVICES**

The general tasks that the consultant may be required to perform are described more specifically in Attachment A, which is incorporated herein by reference. The selected consultant will perform the specific services covered in an Indefinite Delivery/Indefinite Quantity (IDIQ) contract as detailed in individual Task Orders (TOs), which will specify TO-specific scope of services, contract time, and compensation.

The consultant shall perform the work in accordance with the requirements of this advertisement, the resulting contract, and any TOs issued thereunder. Deliverables shall be in such format as required in Attachment A, unless otherwise specified in an individual TO. The work performed by the consultant shall be performed in a manner consistent with that degree of care and skill ordinarily exercised by members of the same profession currently practicing under similar circumstances.

#### MINIMUM PERSONNEL REQUIREMENTS (MPRs)

The requirements set forth in Attachment B must be met at the time the proposal is submitted.

#### **EVALUATION CRITERIA**

The criteria to be used by DOTD in evaluating responses for the selection of a consultant to perform these services are listed below:

- 1. firm experience on similar projects, weighting factor of three (3);
- 2. staff experience on similar projects, weighting factor of four (4);
- 3. firm size as related to the project magnitude, weighting factor of three (3);
- 4. past performance on similar DOTD projects, weighting factor of six  $(6)^*$ ;
- 5. current work load with DOTD, weighting factor of five (5);
- 6. approach and methodology, weighting factor of nine (9).

\*The consultant is to identify in the table below those evaluation disciplines consistent with the approach and methodology proposed in Section 18 of the DOTD Form 24-102.

# THE FOLLOWING TABLE MUST BE COMPLETED AND INCLUDED IN SECTION 12 OF THE DOTD FORM 24-102 PROPOSAL.

**Sub-consultants are allowed to be used for this proposal.** Fill in the table by identifying only those evaluation disciplines consistent with the approach and methodology proposed in Section 18 of the DOTD Form 24-102\*, the name of each firm that is part of the proposal, and the percentage of work in each past performance evaluation discipline to be performed by that firm. The percentage estimated for each evaluation discipline is for evaluation purposes only and will not control the actual performance or payment of the work. The percentages for the prime and sub-consultants must total 100% for each past performance evaluation discipline, as well as the overall total percent of the contract. (Add rows and columns as needed)

overall total percent of the contract.		(Fide 10 WS and conditions as needed)					
Evaluation	% of	Prime	Firm B	Firm C	Firm D	Firm E	Each
Discipline(s)	Overall						Discipline
(-)							must total
	Contract						to 100%
							100%
							100%
							100%
Identify the percentage of work for the <b>overall contract</b> to be performed by the prime							
consultant and each sub-consultant.							
Percent of Contract	100%						

\*The past performance evaluation disciplines are: Road, Bridge, Traffic, CE&I/OV, Geotech, Survey, Environmental, Data Collection, Planning, Right-of-Way, CPM, ITS, Appraiser and Other. The crosswalk from the old categories to the new categories can be found at the link below: <a href="http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/CCS/General%20Information/CPPR%20Crosswalk%20to%20New%20Evaluation%20Disciplines.pdf">http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/CCS/General%20Information/CPPR%20Crosswalk%20to%20New%20Evaluation%20Disciplines.pdf</a>.

If sub-consultants are allowed, the prime consultant must perform greater than 50% of the work for the overall contract.

Proposals will be evaluated as set forth in the "Evaluation Criteria" section of this advertisement. The evaluation will be by means of a point-based rating system. Each of the above criteria will receive a rating on a scale of one (1) through five (5). The rating will then be multiplied by the corresponding weighting factor. The rating in each category will then be added to arrive at the proposal's final rating.

DOTD's Project Evaluation Team (PET) will be responsible for performing the above described evaluation, and will present a shortlist of the three (3) (if three are qualified), highest rated consultants to the Secretary of DOTD. The Secretary will make the final selection.

# COMPLIANCE WITH SUPPLEMENTAL ETHICS REQUIREMENTS

DOTD has established supplemental ethics requirements applicable to consultants and PET members. These requirements are found in the "Supplemental Ethics Requirements" article of the sample contract linked to this advertisement, which are incorporated herein by reference. Any firm that is found to have violated these requirements may not be considered for this selection.

# By submission of a proposal to perform services pursuant to this advertisement, the consultant agrees to comply with DOTD's Supplemental Ethics Requirements.

# RULES OF CONTACT UPON ADVERTISEMENT

DOTD is the single source of information regarding the contract selection. Any official correspondence will be in writing, and any official information regarding the contract will be disseminated by DOTD's designated representative via the DOTD website. The following rules of contact will apply during the contract selection process, commencing on the advertisement posting date and ceasing at the time of final contract selection. Contact includes face-to-face communication, the use of a telephone, facsimile, electronic mail (email), or formal or informal written communications with DOTD. Any contact determined to be improper, at the sole discretion of DOTD, may result in the rejection of the proposal (i.e., DOTD Form 24-102).

Consultants and consultant organizations shall correspond with DOTD regarding this advertisement only through the email address designated herein; <u>DOTDConsultantAds80@la.gov</u> and during DOTD sponsored one-on-one meetings.

No consultant, or any other party on behalf of a consultant, shall contact any DOTD employee, other than as specified herein. This prohibition includes, but is not limited to, the contacting of: department, office, or section heads, project managers, members of the evaluation teams, and any official who may participate in the decision to award the contract resulting from this advertisement.

DOTD will not be responsible for any information or exchange that occurs outside the official process specified above.

By submission of a proposal to perform services pursuant to this advertisement, the consultant agrees to the communication protocol herein.

#### CONTRACT TIME

This IDIQ contract shall be in effect for **five (5) years**. All TOs must be completed by the termination date of the IDIQ contract. No TO will be initiated unless sufficient contract time remains to complete the TO.

#### COMPENSATION

The maximum compensation payable to the consultant under each IDIQ contract shall not exceed **\$2,200,000.** Compensation to the consultant for services rendered in connection with each TO may be made on the basis of lump sum, actual cost plus a fixed fee, cost per unit of work, or specific rates of compensation, as specified in each TO, subject to the limitation set forth in the IDIQ contract.

Compensation may be either negotiated or non-negotiated as determined by DOTD for each individual TO. When the compensation is negotiated, it will be determined by DOTD based on work hours negotiated between DOTD and the consultant. After notification of selection, a kick-off meeting will be held with the selected consultant and appropriate DOTD personnel. The selected consultant will be required to submit a work hour proposal. All negotiations must be completed within the timeframe set forth in the Consultant Contract Services Manual, unless an abbreviated timeframe is specified in writing by the PM.

## DIRECT EXPENSES

To the extent that the consultant is allowed to claim reimbursement for direct expenses, all direct expense items that are not paid for in the firm's indirect cost rate and are needed and will be consumed during the life of the contract must be identified by the consultant during contract development. Standard equipment or resources to be used in the provision of services rendered for this contract will not be considered for payment under direct expenses.

The consultant should own most of the equipment required to provide the work and services. The cost of this equipment should be included in the consultant's indirect cost rate. Equipment may be considered "specialized" if it cannot be considered standard equipment for that particular consultant's normal operating business needs. If a consultant believes special equipment is needed for the contract, the consultant must inquire through the Question and Answer process, as provided herein, whether the identified item will be considered specialized equipment for the individual contract.

To the extent that direct expenses are authorized to be compensated pursuant to a particular TO, all travel related expenses will be compensated under direct expenses, and will be in accordance with the most current Louisiana Office of State Travel regulations as promulgated in the Louisiana Administrative Code under the caption "PPM No. 49", with the exception that compensation for

vehicle usage will be based on actual miles traveled directly and exclusively related to project needs. Vehicle rental rates will require prior approval from the PM.

# QUALITY ASSURANCE/QUALITY CONTROL

DOTD requires the selected consultant and all sub-consultants to develop a Quality Assurance/Quality Control (QA/QC) program in order to provide a mechanism by which all deliverables will be subject to a systematic and consistent review. The selected consultant shall address in its plan the review of all sub-consultant work and deliverables. The selected consultant must submit their QA/QC plan to the DOTD PM within 10 business days of the award notification to the consultant. Consultants must ensure quality and adhere to established DOTD policies, procedures, standards and guidelines in the preparation and review of all deliverables. DOTD may provide limited input and technical assistance to the consultant. Any deliverables to be transmitted by the consultant shall be transmitted with a DOTD Quality Assurance/Quality Control Checklist, and a certification that the deliverables meet DOTD's quality standards.

If Attachment A includes specific QA/QC requirements that contradict those set forth above, the requirements in Attachment A control.

# TRAFFIC ENGINEERING PROCESS AND REPORT TRAINING REQUIREMENTS

As part of DOTD's on-going commitment to high quality traffic engineering reports, a traffic engineering training course must be taken by traffic engineering PEs and EIs in order to be eligible to work on DOTD projects. When traffic is included as a discipline on which past performance is evaluated, for consultants performing traffic engineering services (i.e., traffic analysis throughout all DOTD project stages and/or QC of traffic analysis), appropriate personnel must successfully complete the three (3) modules of the Traffic Engineering Process and Report Course offered by Louisiana Transportation Research Center (LTRC). This Course must be completed no later than the time the proposal is submitted. **Copies of training certificates are to be included in Section 20 of the proposal.** It will be the prime consultant's responsibility to ensure their staff and subconsultants complete the training. Copies of training records may be obtained from the LTRC website <u>https://registration.ltrc.lsu.edu/login</u>.

## WORK ZONE TRAINING REQUIREMENTS

As part of DOTD's on-going commitment to work zone safety, required work zone training courses must now be taken every four (4) years in order for personnel to remain eligible to work on DOTD projects. For consultants performing preconstruction services (*e.g.*, design, survey, subsurface utility, geotechnical, traffic, bridge inspection, environmental services), appropriate personnel must successfully complete these courses. In general, the person in responsible charge of traffic control plans shall be required to have Traffic Control Supervisor training. For preconstruction field services performed within the clear zone, at least one (1) member of the field crew shall have Traffic Control Supervisor or Traffic Control Technician training. The consultant should identify all personnel listed in the staffing plan for the contract who have completed the appropriate work zone training courses. All preconstruction work zone training requirements shall

be met **prior to contract execution**. It will be the prime consultant's responsibility to ensure their staff and sub-consultants have the appropriate work zone training.

In addition to the above requirements, if the Scope of Services set forth in Attachment A includes Construction Engineering and Inspection (CE&I), the following training requirements shall be met **at the time the proposal is submitted**:

Field Engineers:	Traffic Control Technician Traffic Control Supervisor Flagger
Field Engineer Interns:	Traffic Control Technician Traffic Control Supervisor Flagger
Field Senior Technicians, Survey Party Chiefs, and	
SUE Worksite Traffic Supervisors*:	Traffic Control Technician Traffic Control Supervisor Flagger
Other Field Personnel*:	Traffic Control Technician Flagger

\* excluding Asphalt Plant Inspector, Paint Managers, and Paint Inspectors

Approved courses are offered by ATSSA and AGC. Substitutes for these courses must be approved by the DOTD Work Zone Task Force. For more information, please contact DOTD HQ Construction at 225-379-1584. Specific training course requirements are:

Flagger:	Successful completion every four (4) years of a work
	zone flagger course approved by the Department. The
	"DOTD Maintenance Basic Flagging Procedures
	Workshop" is not an acceptable substitute for the
	ATSSA and AGC flagging courses.
Traffic Control Technician (TCT)	Successful completion every four (1) years of a work

- Traffic Control Technician (TCT): Successful completion every four (4) years of a work zone traffic control technician course approved the Department. After initial successful completion, it is not necessary to retake this course every four (4) years if Traffic Control Supervisor training is completed every four (4) years.
- Traffic Control Supervisor (TCS): Successful completion of a work zone traffic control supervisor course approved by the Department. Following an initial completion, traffic control supervisors must either complete a one (1)-day TCS refresher course or retake the original two (2)-day TCS course every four (4) years.

ATSSA contact information: (877) 642-4637

## REFERENCES

All services and documents will meet the standard requirements as to format and content of DOTD and will be prepared in accordance with the latest applicable editions, supplements, and revisions of the following:

- 1. AASHTO Standards <u>https://www.transportation.org/</u>
- 2. ASTM Standards https://www.astm.org/BOOKSTORE/BOS/index.html
- 3. DOTD Test Procedures <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineerin</u> <u>g/Materials\_Lab/Pages/Menu\_TPM.aspx</u>
- 4. DOTD Location and Survey Manual <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/LocationSurvey/Manual</u> <u>s%20and%20Forms/Location\_and\_Survey\_Manual.pdf</u>
- 5. Addendum "A" to the Location & Survey Manual <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/LocationSurvey/Manual</u> <u>s%20and%20Forms/Location%20and%20Survey%20Manual%20-</u> <u>%20Addendum%20A.pdf</u>
- 6. DOTD Roadway Design Procedures and Details <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Road\_Design/Pages/Roa</u> <u>d-Design-Manual.aspx</u>
- DOTD Design Guidelines <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Road\_Design/Memoran</u> <u>da/Minimum%20Design%20Guidelines.pdf</u>
- 8. DOTD Hydraulics Manual <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Public\_Works/Hydrauli</u> <u>cs/Documents/Hydraulics%20Manual.pdf</u>
- 9. Louisiana Standard Specifications for Roads and Bridges <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Standard\_Specifications</u> <u>/Pages/Standard%20Specifications.aspx</u>
- 10. Manual on Uniform Traffic Control Devices (Non-DOTD Link) <u>http://mutcd.fhwa.dot.gov/</u>
- 11. DOTD Traffic Signal Manual <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Traffic\_Engineering/Tra</u> <u>ffic%20Control/Traffic%20Signal%20Manual%20V3%20-%207.1.20.pdf</u>
- 12. National Environmental Policy Act (NEPA)
- 13. DOTD Stage 1 Planning/Environmental Manual of Standard Practice <u>http://wwwsp.dotd.</u> <u>la.gov/Inside\_LaDOTD/Divisions/Engineering/Environmental/Pages/Stage\_1.aspx</u>
- 14. National Electrical Safety Code
- 15. National Electrical Code (NFPA 70)
- 16. A Policy on Geometric Design of Highways and Streets (AASHTO) https://bookstore.transportation.org/collection\_detail.aspx?ID=110

- 17. DOTD Construction Contract Administration Manual <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Pages/Engineering\_Doc</u> <u>s.aspx</u>
- 18. DOTD Materials Sampling Manual <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Materials\_Lab/Pages/M</u> <u>enu\_MSM.aspx</u>
- 19. DOTD Bridge Design and Evaluation Manual http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Bridge\_Design/Pages/B DEM.aspx
- 20. Consultant Contract Services Manual <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/CCS/Manuals/CCS%20</u> <u>Manual%20rev%20Oct%202020.pdf</u>
- 21. Bridge Inspector's Reference Manual https://www.fhwa.dot.gov/bridge/nbis.cfm
- 22. Federal Aid Off-System Highway Bridge Program Guidelines <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Bridge\_Design/Manuals</u> <u>/Other%20Manuals%20-%20Guidelines/2019%20Federal%20Aid%20Off-</u> <u>System%20Highway%20Bridge%20Program%20Guidelines.pdf</u>
- 23. Code of Federal Regulations 29 CFR 1926 (OSHA)
- 24. Complete Streets <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Multimodal/Highway\_Safety/Compl</u> <u>ete\_Streets/Pages/default.aspx</u>
- 25. Traffic Engineering Manual -<u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Traffic\_Engineering/Mi</u> <u>sc%20Documents/Traffic%20Engineering%20Manual.pdf</u>
- 26. Traffic Engineering Process and Report <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/Traffic\_Engineering/Ma</u> <u>nualsPublications/Pages/TEPR.aspx</u>
- 27. Dam and Levee State Projects and Programs, Appendices A-C <u>ftp://wwwapps.dotd.la.gov/damsafety/SPP%20Documents/</u>
  - a. LWI "Guidance on Modeling Methodology" June 29, 2021 (Appendix A)
  - b. "Regional Probable Maximum Precipitation Study For Oklahoma, Arkansas, Louisiana, and Mississippi Final Report", August 2019 (Appendix B)
  - c. Louisiana Watershed Initiative, Dam and Levee State Projects and Programs "Risk Analysis Guidance" April 23, 2021 (Appendix C)
- 28. Louisiana State Plane Coordinate System, (NAD-83-92)
- 29. Louisiana Administrative Code (LAC), Title 56, Part III, Subpart 1, Chapter 7 Dam Safety Program

#### CONTRACT EXECUTION REQUIREMENTS

The selected consultant will be required to execute the contract within ten (10) days after receipt of the contract.

A sample of the contract provisions can be found at the following link: <u>http://wwwsp.dotd.la.gov</u>/<u>Inside\_LaDOTD/Divisions/Engineering/CCS/Pages/Advertisements.aspx</u>.

#### SECONDARY SELECTION PROCESS

When multiple IDIQ contracts with similar scopes of service are available within a DOTD Section that is prepared to issue a TO, the TO selection procedures set forth in Attachment C shall be used to award that TO. Documentation of the selection process shall be retained by DOTD.

#### **REVISIONS TO THE ADVERTISEMENT**

DOTD reserves the right to revise any part of the advertisement by issuing addenda to the advertisement at any time. Issuance of this advertisement in no way constitutes a commitment by DOTD to award a contract. DOTD reserves the right to accept or reject, in whole or part, all DOTD Form 24-102s submitted, and/or cancel this consultant services procurement if it is determined to be in DOTD's best interest. All materials submitted in response to this advertisement become the property of DOTD, and selection or rejection of a proposal does not affect this right. DOTD also reserves the right, at its sole discretion, to waive administrative informalities contained in the advertisement.

#### CLARIFICATIONS

DOTD reserves the right to request clarification of ambiguities or apparent inconsistencies found within any proposal, if it is determined to be in DOTD's best interest.

#### PROPOSAL REQUIREMENTS

The consultant's proposal for this advertisement must be submitted by email to <u>DOTDConsultantAds80@la.gov</u> using the most current version of the DOTD Form 24-102 (available at <u>http://wwwsp.dotd.la.gov/Inside\_LaDOTD/Divisions/Engineering/CCS/Pages/Manuals\_Forms\_Agreements.aspx</u>). Hard copies of the consultant's proposal are not required. All proposals must be in accordance with the requirements of this advertisement, and the Consultant Contract Services Manual. Unless otherwise stated in this advertisement, copies of licenses and certificates are not required to be submitted with the proposal.

If more than one (1) contract is to be selected based on this advertisement, no prime consultant is allowed to be a sub-consultant on any other consultant's 24-102. If a prime consultant is submitted as a sub-consultant on another consultant's 24-102, its proposal as a prime consultant may be deemed non-responsive.

# ANY CONSULTANT FAILING TO SUBMIT ANY OF THE INFORMATION REQUIRED ON THE DOTD FORM 24-102, OR PROVIDING INACCURATE INFORMATION ON THE DOTD FORM 24-102, MAY BE CONSIDERED NON-RESPONSIVE.

DOTD employees may not submit a proposal, nor be included as part of a consultant's proposal.

Contract and/or part-time employees are allowed. Such employees should be shown in Section 14 of the DOTD Form 24-102 with an asterisk denoting their employment status.

The DOTD Form 24-102 should be identified with **contract number 4400023101** and must be received by DOTD via email **no later than 3:00 p.m. CST** on **Thursday, January 6, 2022**.

#### **ATTACHMENT A – SCOPE OF SERVICES**

The project time is **typical**. The route classification is **N/A**.

#### **PROJECTION DESCRIPTION**

The proposed scope of work consists of all phases of data acquisition, analysis, design, permitting and construction support for three projects in the Louisiana Watershed Initiative (LWI) – State Projects Program (SPP). The work will include Survey Services, Geotechnical Services, Environmental Services, Hydrologic and Hydraulic Modeling Services, Preliminary and Final Design, Construction Plans, and Construction Engineering Support for the "Anacoco Creek Watershed Improvements" which consists of the Lower Anacoco Dam and Vernon Lake Dam, "Bundick Lake Flood Surcharge Management" and "Three Mile Lake Backwater Flood Reduction" projects. The overall objectives are to reduce/mitigate recurrent flooding and reduce the risk of catastrophic dam failure by modifying the structures to comply with current standards.

#### STAGE 1: PLANNING/ENVIRONMENTAL

**Conceptual Design** – Shall consist of all services required to perform necessary studies to determine alternatives for structure modifications outlining advantages, disadvantages, flood risk reductions and cost associated with the alternatives and a recommendation of the preferred alternative. Public Hearings shall be held for the recommended alternative for each structure developed under this phase. The work under this section specifically consists of the following major items:

- 1. Assemble all existing land use, topographic data, geotechnical data, design data, construction data, for each of the identified structures. Review all existing data to minimize data collection necessary to complete the items identified below.
- 2. Perform a hydrologic and hydraulic (H&H) analysis of the structures in the existing conditions. The analysis shall include a range of runoff events ranging from a 4% probability of annual occurrence through the event where breach of the dam does not increase hazard to downstream interests, not to exceed the Probable Maximum Flood (PMF) event. Where appropriate, the H&H analysis shall be performed utilizing the methodologies, software and conventions for inland watersheds as described in LWI "Guidance on Modeling Methodology" June 29, 2021 (see reference No. 27a, Appendix A). A review of existing models within in the watershed, including data and models from the LWI if available shall be made. Any appropriate existing data and/or models available shall be utilized to minimize duplication of effort. Prior to actual start of the H&H analysis, a report detailing the software, methods, existing data and models and other pertinent information to be utilized in the analysis shall be provided to the Project Manager for approval.

The PMF event (or percentages thereof) shall be derived utilizing the spatial precipitation amounts determined by the "Regional Probable Maximum Precipitation Study For Oklahoma, Arkansas, Louisiana, and Mississippi Final Report", August 2019 (see reference No. 27b, Appendix B).

- 3. Perform Probable Failure Mode (PFM) determinations to be used a Baseline Semi Quantitative Risk Assessment (SQRA) of each of the project locations for the existing condition of the structure in accordance with the Louisiana Watershed Initiative, Dam and Levee State Projects and Programs "Risk Analysis Guidance" April 23, 2021 (see reference No. 27c, Appendix C). Hydraulic loading for the SQRA shall be informed by the results of the H&H Study.
- 4. Develop alternatives to mitigate flood risk in the surcharge area above the dam without increasing flood risk downstream of the dam. Any alternative shall also provide measures to reduce catastrophic failure risk of the dams. Any alternative considered shall not increase the "footprint" of the dam (or levee). The alternatives shall be presented to DOTD for concurrence in the preferred alternative.
- 5. Determine a preferred alternative for each structure based on the combination of risk reductions from the existing conditions SQRA and the proposed conditions SQRA, flood risk reduction, and mitigation of catastrophic failure. The preferred alternative shall be presented to DOTD concurrence prior to moving forward to Stage 3: Design.
- 6. After receiving DOTD concurrence of the preferred alternative, a properly indexed, neatly arranged, bound copy and an electronic copy of all computations used in development of the H&H and SQRA analysis.
- 7. Schedule, prepare for and present the proposed alternative at conferences/meetings with affected public officials as may be designated by DOTD.

**Environmental Evaluation -** Shall consist of all services required to meet the requirements of DOTD "Stage 1 - Planning/Environmental Manual of Standard Practice" for the proposed alternative at each location. This shall include but is not limited to:

- 1. Determine the level of environmental evaluation of the proposed alternative in accordance such as a Categorical Exclusion, Environmental Assessment (EA) or an Environmental Impact Statement (EIS).
- 2. Environmental closure documents
- 3. Required permits necessary for project execution
- 4. Major design features
- 5. Environmental mitigation measures necessary

The Environmental Review shall be in compliance with "Environmental Review Procedures for Entities Assuming HUD Environmental Responsibilities", described in 24 CFR part 58.

**Scope and Budget Development** – Shall consist of all services necessary to develop a schedule of implementation and estimate costs for design, utility relocations (if necessary), construction and any environmental mitigation costs in current year dollars for the proposed alternative for each site.

**Permits** - Shall consist of all services necessary to determine any and all permits required for project implementation. This shall include assembling all information, developing any needed

permit drawings, completing permit applications, submitting applications and obtaining all necessary permits for the project on the behalf of DOTD.

# STAGE 3: DESIGN

The services to be performed by the Consultant under this Contract are described more specifically as follows:

**Topographic Survey-**Shall consist of all services required to make a complete topographic survey, in English units of measure, as required for the proper design and layout of the Project. DOTD's requirements which shall govern this survey are specified in the current edition of the DOTD's <u>Location and Survey Manual</u>. Although currently acceptable surveying standards and methods, as approved by the Location and Survey Administrator, may be used. The Consultant shall request, in writing, from the Project Manager a copy of this manual for the Consultant's information and guidance as to normal DOTD procedures in the conduct of topographical surveys. Deviations from the normal procedures must be authorized in writing by the Project Manager.

The Project survey control and horizontal alignment shall be based on the Louisiana State Plane Coordinate System, (NAD-83-92), as determined by G.P.S. observation.

**Utility Relocation-** Shall consist of all services required to identify any utilities within the project area and determine if any of the utilities on the project site will require relocation. In the event any utilities require relocation, the Consultant shall work with the utility owner to design and relocate the utilities.

**Preliminary Plans-** Shall consist of all engineering services required for the completion of Preliminary Plans and cost estimates for the Project, all under a schedule for completion which shall be in conformity with the contract time specified elsewhere in this Contract or established by supplemental agreement. Specifically, the work under this section consists of the following major items:

- 1. Design for Preliminary Plans shall be done in English units of measurement.
- 2. The assembly and study of existing data, including improvement studies, boring information, if any, and any other data available through DOTD, and such other data as can be located through efforts of the Consultant.
- 3. The design criteria for this project at a minimum, shall comply with the requirements set forth in Louisiana Administrative Code (LAC), Title 56, Part III, Subpart 1, Chapter 7 Dam Safety Program. Other design criteria not specifically addressed in the LAC for this project shall be mutually determined by the Consultant's and DOTD's personnel at a Pre-Design Conference to be held at DOTD's Headquarters Administration Building in Baton Rouge at the conclusion of the selection of the preferred alternative and Topographic Surveys and prior to the commencement of this Part.
- 4. The preparation of Preliminary Plans for the Project shall be in accordance with the standard requirements of DOTD as to general format and content. Three sets of these plans shall be submitted to the Project Manager for preliminary examination and comments after they have been developed to show all information required for a Plan-in-Hand inspection

and, upon receipt of any such comments, the Consultant shall revise the plans accordingly. The Consultant shall then submit to the Project Manager all computer-generated original reproducibles of the Preliminary Plans. The Plans shall be dated and stamped "Preliminary" for further review, and for DOTD's use in developing the prints necessary for a complete Plan-in-Hand field inspection with members of DOTD and other stakeholders, at a time and date mutually agreed to in advance by all parties.

- 5. Subsequent to the Plan-in-Hand inspection, the Consultant shall make all changes in the plans, as necessary, to reflect agreements reached at this Stage. The Consultant shall then submit revised computer-generated original reproducibles of the plans to the Project Manager.
- 6. Specifications for the Project shall be in accordance with the latest edition of Louisiana Standard Specifications for Roads and Bridges, amended to comply with the current practices of DOTD. The consultant shall be responsible development and approval of all non-standard specifications in compliance with DOTD requirements.
- 7. The Consultant shall prepare estimates of construction contract costs based on estimated quantities developed for the Preliminary Plans and a sequence of construction for the Project.
- 8. The design format for this improvement shall comply with Housing and Urban Development criteria where different from DOTD criteria. The format of the plans shall conform to the standards used by DOTD in the preparation of its contract plans for items of work of similar character, including plans for all drainage and utilities affected.

**Final Plans-** Shall consist of all services required for the preparation of Final Plans, specifications, and estimates, all meeting the standard requirements of DOTD as to general format and content. Specifically, the work under this section consists of the following major items:

- 1. The design and preparation of completed detailed Final Plans drawn to acceptable scales for the Project. At a minimum, the plans shall include designs and/or details for all components of the preferred alternative including but not limited to: earthwork, structural concrete, mechanical systems and other appurtenances. The Final Plans shall show construction limits and existing utilities.
- 2. The preparation and submittal of construction cost estimates shall be based on the Final Plans.
- 3. The submittal to the Project Manager of original unsigned reproducible drawings of the Final Plans for Advanced Check Print (ACP) review and special provisions for review.
- 4. The preparation of all special specifications required.
- 5. After ACP's have been reviewed and comments incorporated, Final Plans shall be submitted accompanied by a properly indexed, neatly arranged, bound copy and an electronic copy of all design computations used in developing the pay quantities for all work and the design data for structural concrete components, mechanical structures, embankment design and appurtenant components. The submittal shall be accompanied by a written certification from the Consultant that a detailed check of such computations by qualified personnel has been made prior to submission.

- 6. The Title Sheet for Final Contract Plans shall be reproduced on high-quality, 4-mil, doublematte film using a plotting or reproduction process that fuses the graphics to ensure durability. All other Final Plan Sheets may be reproduced on high-quality 24 lb. Premium Bond paper for submittal to DOTD. Repeated handling and friction due to stacking of plans shall not smear, flake or rub off the graphics. Improper plotter settings and plotter wear may cause inconsistent durability of the drawings. The Consultant shall test samples of the submitted drawings for durability. Advance samples of matte films may be submitted with the ACPs; however, the Contract Plans shall be tested separately. Failures shall result in rejection of the submittal. Additions or changes shall be made with a permanent type of waterproof ink made for this purpose.
- 7. The outside measure of each plan sheet shall be 22 x 36 inches or 23 x 36 inches with margins measuring not more than 21 inches x 34 inches. Top, bottom, and right hand margins shall be at least 1/2 inch, and left-hand margin shall be at least 1 1/2 inches.
- 8. Lettering on plans shall be of adequate size to facilitate a 50% reduction of plans.
- 9. All plans submitted by the Consultant shall conform to the quality standards adopted by DOTD and the DOTD's Project Manager may reject any plans not conforming to these standards.
- 10. Design for Final Plans shall be done in English units of measure.

Construction Proposal Services- Shall consist of the following:

The Consultant shall provide all necessary support and information required for DOTD to prepare all required bidding documents.

DOTD shall be responsible for the advertisement, letting and award of the Project.

## **STAGE 5: CONSTRUCTION**

**Construction Support-** Shall consist of all services required to review and address all Requests for Information (RFI's) from the DOTD's Construction Contractor that concern plan/specification clarity or plan/specification error. The Consultant shall be required to respond to all RFI's within forty-eight (48) hours.

Cost recovery for all RFI's due to plan/specification clarity or plan/specification error shall be as noted in the Errors and Omissions clause as established in this Original Contract.

In order to provide efficient construction contract administration and minimize construction delay costs, the Consultant may be required to provide construction on-call support in order to complete the Project. The Consultant shall be available to assist DOTD with information meetings with the Contractor with a twenty-four (24) hour notice. These meetings shall be authorized by DOTD. The Consultant shall be required to respond to and deliver requested minor design changes and plan/specification corrections within seven (7) calendar days. DOTD has not retained the Consultant to make detailed inspections or to provide exhaustive or continuous project review and observation services. This item shall be used only when directed and authorized by the DOTD's Project Manager. The Consultant does not guarantee the performance of, and shall have no

responsibility for, the acts or omissions of any contractor, subcontractor, supplier or any other entity furnishing material or performing any work on the project.

**Shop Drawings**- Shall consist of all services required to review all shop drawings and equipment submittals for conformity with the construction contract document, and the distribution of approved submittals as per the project distribution list.

# SERVICES TO BE PERFORMED / ITEMS TO BE PROVIDED BY DOTD

The following services and/or data will be provided, if available:

- 1. All historical data such as As-Builts, design plans, shop drawings, maintenance records, etc. if available will be provided the Consultant.
- 2. All historical subsurface soil investigations and laboratory analyses, including core drillings and borings with laboratory reports, as may be necessary for the design of the Project if available will be provided the Consultant.
- 3. All information which DOTD has in its files relative to the project such as reports and studies within the area of the Project which may be useful to the Consultant in carrying out this work and assistance in securing similar data from others to the extent available.

# ELECTRONIC DELIVERABLES

Consultant hereby agrees to produce electronic deliverables in conformance with DOTD Software and Deliverable Standards for Electronic Plans document in effect as of the effective date of the most recent contract action or modification, unless exempted in writing by the Project Manager. Consultant is also responsible for ensuring that sub-consultants submit their electronic deliverables in conformance with the same standards. DOTD Software and Deliverable Standards for Electronic Plans document and DOTD CAD Standards Downloads are available via links on the DOTD web site.

Consultant shall apply patches to CAD Standard Resources and install incremental updates of software as needed or required. Consultant hereby agrees to install major updates to software versions and CAD Standard Resources in a timely manner. Major updates of CAD standards and software versions shall be applied per directive or approval of the DOTD Design Automation Manager. Such updates will not have a significant impact on the plan development time or project delivery date, nor will they require Consultant to purchase additional software. Prior to proceeding with plan development, Consultant shall contact the Project Manager for any special instructions regarding project-specific requirements.

In the event that any Digital Plan Delivery Standard conflicts with written documentation, including DOTD plan-development Manuals, the Digital Plan Delivery Standard governs. Consultant is responsible for contacting the Project Manager should questions arise.

Consultant shall upload (or check in) electronic deliverables directly into the DOTD ProjectWise repository at each plan delivery milestone. Consultants are responsible for performing certain operations at each milestone including, but not limited to, the following:

- Upload (or check in) CAD plan deliverables to the discipline "Plans" folder
- Apply and maintain indexing attributes to CAD plans (and other deliverables as needed)
- Publish PDF format plan submittals in ProjectWise using automated publishing tools
- Digitally sign PDF format plan submittals in ProjectWise according to DOTD standards and procedures (Final Plans, Revisions and Change Orders). Signatures shall be applied in signature blocks provided with electronic seals and Title Sheets.

Additionally, after reviewing deliverables for each submittal milestone, the Project Manager shall notify Consultant regarding the availability of two automatically-generated informational reports in ProjectWise. These reports document the completion status and other information regarding indexing attributes and CAD standards. Consultants shall take these reports into account and make any necessary adjustments to plans before the next submittal milestone; or sooner, if directed by the Project Manager.

# SPECIFIC SOFTWARE AND / OR EQUIPMENT DESIRED

- MicroStation
- HEC SSP
- HEC HMS
- HEC RAS (most recent release)
- HEC FIA
- HEC FDA
- HEC LifeSim

# **GROUP 1 PROJECTS - LOCATION MAP**





Louisiana Watershed Iniative State Projects Program

> DOTD Sponsored Projects

Group 1 Projects: Anacoco CreekWatershed (Vernon & Anacoco Dams) Bundicks Creek Dam Three Mile Lake



# ATTACHMENT B – MINIMUM PERSONNEL REQUIREMENTS (MPRs)

The following requirements must be met at the time the proposal is submitted:

- 1. At least one (1) principal of the prime consultant shall be a registered professional engineer in the state of Louisiana.
- 2. At least one (1) principal or other responsible member of the prime consultant shall be currently registered in the state of Louisiana as a professional engineer in civil engineering.
- 3. At least one (1) principal or responsible member of the prime consultant shall be a professional engineer, registered in the state of Louisiana, and shall have a minimum of ten (10) years of experience in responsible charge of dam design/rehabilitation engineering.
- 4. At least one (1) professional engineer, registered in the state of Louisiana, shall have a minimum of ten (10) years of experience in hydrologic and hydraulic modeling.
- 5. At least one (1) professional land surveyor, registered in the state of Louisiana, shall have a minimum of five (5) years of experience.
- 6. At least one (1) individual or individuals shall have a minimum of five (5) years of experience (\*unless otherwise noted) in the following:
  - a. Hydrology and hydraulic engineering, modeling and analysis
  - b. HEC products: HMS, RAS 1-D, 2-D and SSP
  - c. HEC products: FDA, FIA, LifeSIM (\*2 years).
  - d. Reviewing flood ordinances related to local land use

# <u>MPRS ARE TO BE MET BY SEPARATE INDIVIDUALS OF THE PRIME</u> <u>CONSULTANT, UNLESS STATED OTHERWISE BELOW.</u>

MPR Nos. 1 through 3 may be met by the same person.

MPR Nos. 4 through 6 may be satisfied through the use of a sub-consultant(s).

#### NOTE: WHEN SATISFYING A MINIMUM PERSONNEL REQUIREMENT, PLEASE ENSURE THE RÉSUMÉ REFLECTS REQUIRED EXPERIENCE AS REQUESTED.

• Please note the number of MPRs are minimal; however, all relevant personnel necessary to perform the Scope of Services must be identified in Section 14 of the DOTD Form 24-102 and their resumes included in Section 16 of the DOTD Form 24-102.

# ATTACHMENT C – SECONDARY SELECTIONS FOR TASK ORDERS

#### **Procedures for selecting among IDIQ contracts for issuance of Task Orders**

If proposed new TO is to be issued for the purpose of extending services related to services performed under a previously issued TO by a particular consultant with whom DOTD has an existing IDIQ contract containing the appropriate scope of services and with time and funding capacity available sufficient to support the issuance of the new TO under said contract, then that consultant's contract will be tasked.

Otherwise, when more than one IDIQ is available for the provision of the services required, the following procedure will be employed to determine which of the IDIQ contracts will be tasked.

- 1. Identify all IDIQ contracts that apply type/scope of work in contract
  - a. If applies, move to next step
  - b. If does not apply, then cannot use the contract
- 2. Determine if there is sufficient time remaining on the contract to complete the work
  - a. If yes, proceed to next step
  - b. If no, then cannot use the contract
- 3. Determine if there is sufficient compensation remaining on contract to complete the work
  - a. If yes, proceed to next step
  - b. If no, cannot use the contract
- 4. Determine if specialty tasks are required or if timing of performance is critical
  - a. If yes, can the consultant perform the work, as needed? (Consideration may be given to experience with task(s), current workload, and past performance.)
    - i. If yes, the consultant can perform the work, then proceed to next step
    - ii. If no, the consultant should not or is not able to perform the work, do not use the contract. Document the reasons, *e.g.*, the consultant is less experienced, past performance indicates that the consultant may have difficulty with task(s), the consultant has multiple jobs ongoing for DOTD so timeliness may be an issue, etc.
  - b. If no specialty tasks or timeliness issues are present, then proceed to the next step.
- 5. If more than one IDIQ contract reaches this step, then they will be distinguished from one another by the consultants': 1) familiarity or experience with the services required;
  2) locality, where a local presence will add value to the quality and efficiency of the project; or 3) the amount of remaining contract time or remaining available compensation.
  - a. Select the contract whose consultant is most familiar or experienced with the services required.

- b. If the consultants are equal regarding familiarity/unfamiliarity with the services required, then select the contract whose consultant is local to the project area, provided that a local presence will add value to the quality and efficiency of the project.
- c. If the consultants are equal on the criteria of familiarity and experience with the services required and locality, if applicable, then select the contract with the most available time or the most available compensation on the contract, with due consideration given to the risks involved and the needs of the project.