# ENGINEERING AND RELATED SERVICES MAY 05, 2015

CONTRACT NO. 4400006382
RETAINER CONTRACT FOR PROFESSIONAL
HYDROGRAPHIC SURVEYING SERVICES
STATEWIDE

Under Authority granted by Title 48 of Louisiana Revised Statutes, the Louisiana Department of Transportation and Development (DOTD) hereby issues a Request for Qualification Statements (RFQ) on DOTD Form 24-102 (24-102), "Professional Engineering and Related Services", revised November 2011, from Consulting Firms (Consultant) to provide engineering and related services. Consultants who are a Louisiana or foreign LLC or corporation should be appropriately registered with the Louisiana Secretary of State, as contemplated by Title 12 of the Louisiana Revised Statutes, and with LAPELS under it's rules for FIRMS. If a Consultant fails to place itself in good standing in accordance with those provisions, it may be subject to consequences contemplated in Title 12 and/or the LAPELS rules. All requirements of Louisiana Professional Engineering and Land Surveying (LAPELS) Board must be met and all Consultants shall be registered with the Federal Government using SAM.gov at the time of submittal.

DOTD employees may not submit a proposal, nor be included as part of a Consultant's team.

Project Manager – Mr. Joe Arretteig

Sub-Consultants will not be considered in this selection.

All inquiries concerning this advertisement should be sent in writing to hadi.shirazi@la.gov and heather.huval@la.gov.

#### PROJECT DESCRIPTION

The selected Consultant will perform hydrographic surveying and related services for statewide projects covered by a Retainer Contract under separate Task Orders. **The majority of the work will be located in Districts 02, 03, 07, 61 and 62.** The Consultant will be required to execute a Task Order which will specify the scope of services, contract time, and compensation. Each Task Order will become a part of the Retainer Contract.

#### SCOPE OF CONTRACT SERVICES

The services to be rendered for this Retainer Contract shall consist of the following Stage and Part.

Stage 3, Part I Hydrographic Surveying Services

The Consultant will perform a hydrographic survey along designated bridges using standard survey methods and best surveying practices for data acquisition. The survey shall consist of running range lines at predetermined stations over the water and on the banks. The occurrence of each survey of each bridge will be determined by DOTD schedule and will commence within a time period of 15 days before or after the scheduled date.

The survey will use predetermined horizontal points or positions along a range line. Consultant will use the latest DOTD hydrographic survey to recover or reestablish base lines and benchmarks. The Consultant will be responsible for using and maintaining existing base lines and ranges.

The established DOTD Bench mark elevation for each bridge site project will be used. The Consultant will be responsible for using and maintaining the project bench mark. The top of water elevation will be surveyed from the predetermined project bench mark and recorded at the beginning of each survey and at three hour intervals thereafter. If sizeable differences in top of water elevations are noted, using standards of practice, then adjustments will be made in the reduction of the field notes for final elevations.

The fathometer will be bar checked each day and adjusted to record accurate readings. The chart will be marked with an event mark along with a description of the mark at the predetermined horizontal position.

Those surveys not requiring a fathometer chart, a rod reading indicating the depth of water or the elevation of the water bottom will be taken at the predetermined horizontal point or position and recorded in the field book supplied by DOTD.

No survey will take place on those days or times that the water has significant chop that would compromise the integrity of the data. In the event that a passing boat causes a wake that would compromise the integrity of the data, the survey shall cease until the waters return to normal.

Digital photos will be taken to show debris around or against any part of the bridge structure, banks or revetment that have experience erosion or damage. The photo will be annotated to indicate the structure number, direction photo was taken, the date taken and the bent number where photo was directed.

A written report will be submitted on each survey. Remarks on the field conditions will be noted. Such things as broken pilings, water current, debris in water or banks, bank condition, range line obstructions (such as barges), reasons for incomplete survey and surrounding area will be documented.

A data sheet will be used for each bridge structure. The consultant will copy and format a sheet for each bridge. A data sheet will be completed for each bridge structure survey.

The Consultant will deliver the hydrographic chart, field notes, digital photo's and final tabulation (Data) sheet with elevations within 7 days after the completion of the field work. In the event that debris is located around a pier or piling or significant changes to the bottom, the photo and/or data will be sent to DOTD within 24 hours for review by the engineer.

The latest survey data will be used to field check newly acquired data. If acquired data appears non consistent with latest survey, the field crew will resurvey area of interest before departing from field. Surveys to fix faulty data will not be a paid item.

#### REFERENCES

All services and documents will meet the standard requirements as to format and content of the DOTD; and will be prepared in accordance with the latest applicable editions, supplements and revisions of the following:

- 1. AASHTO Standards, ASTM Standards or DOTD Test Procedures
- 2. DOTD Location and Survey Manual
- 3. DOTD Addendum A to Location and Survey Manual
- 4. DOTD Roadway Design Procedures and Details
- 5. DOTD Hydraulics Manual
- 6. DOTD Standard Specifications for Roads and Bridges
- 7. Manual of Uniform Traffic Control Devices
- 8. DOTD Traffic Signal Design Manual
- 9. National Environmental Policy Act (NEPA)
- 10. National Electric Safety Code
- 11. National Electric Code (NFPA 70)
- 12. DOTD Environmental Impact Procedures (Vols. I-III)
- 13. Policy on Geometric Design of Highways and Streets
- 14. Construction Contract Administration Manual
- 15. Materials Sampling Manual
- 16. DOTD Bridge Design Manual
- 17. Consultant Contract Services Manual
- 18. Geotechnical Engineering Services Document
- 19. Bridge Inspectors Reference Manual
- 20. DOTD Stage 1 Manual of Standard Practice

Follow link below for the individual reference links:

 $\frac{\text{http://webmail.dotd.louisiana.gov/ContWEB.nsf/b88769326453bef886256fe00047183a/1}{8 fc 2860512 aba 5886257a62006133b8? OpenDocument}$ 

#### **COMPENSATION**

Compensation to the Consultant for services rendered in connection with each TO shall be based on negotiated work-hours using DOTD established billable rates for the actual work performed on the TO or on the basis of a non-negotiated lump sum amount prior to each TO being issued.

The amount payable under this Retainer Contract for services to be performed under the various TO's shall not exceed a maximum of \$1,000,000. Each TO shall be payable under the respective TO project number which shall be obtained by the Project Manager.

All approved travel related expenses will be compensated under direct expenses, and will be in accordance with Louisiana Office of State Travel regulations found at: <a href="http://www.doa.louisiana.gov/osp/travel/travelpolicy.htm">http://www.doa.louisiana.gov/osp/travel/travelpolicy.htm</a>. Vehicle rental rates will require prior approval from the DOTD Project Manager.

## **DIRECT EXPENSES**

All direct expense items which are not paid for in the firm's overhead which are needed and will be consumed during the life of the contract must be identified by the consultant during contract development. Standard equipment to be used in the provision of services rendered for this contract will not be considered for payment under direct expenses. Failure to provide the above information will deem items as non-qualifying for direct expenses.

The Consultant shall provide a minimum of three rate quotes for any specialty vehicle or equipment. Any and all items for which said quotes are not submitted shall be deemed as non-qualifying for payment as direct expenses.

## CONTRACT TIME AND NOTICE TO PROCEED

This Retainer Contract shall be in effect for the duration of **three years**. The services to be performed for each Task Order (TO) will be determined prior to the execution of the TO. The Consultant will proceed with the services required in the TO upon issuance of the Notice to Proceed from the DOTD. The contract time for each TO, will be specified in the executed TO. Any TO in effect, prior to the expiration date of the Retainer Contract shall be completed.

## QUALITY CONTROL/QUALITY ASSURANCE

The DOTD requires the Consultant to develop a Quality Control/Quality Assurance program; in order to provide a mechanism by which all contracted services can be subject to a systematic and consistent review. Consultants must ensure quality and adhere to established survey policies, procedures, standards, and guidelines in the preparation and review of all survey products. The DOTD shall provide limited input and technical assistance to the Consultant.

# MINIMUM PERSONNEL REQUIREMENTS

The following requirements must be met at the time of submittal:

- 1. At least one Principal of the Prime-Consultant must be a Professional Land Surveyor or a Professional Engineer, registered in the State of Louisiana.
- 2. At least one Principal or other Responsible Member of the Prime-Consultant must be a Registered Professional Land Surveyor with at least five years of experience in conducting hydrographic surveys in rivers, lakes and bays.
- 3. In addition, the Prime Consultant must employ a corresponding support staff with at least five years of experience and training in hydrographic surveys. The support staff must also have the necessary equipment to complete the above mentioned tasks.

#### **EVALUATION CRITERIA**

The general criteria to be used by DOTD in evaluating responses for the selection of a Consultant to perform these services are:

- 1. Consultant's firm experience on similar projects, weighting factor of 3;
- 2. Consultant's personnel experience on similar projects, weighting factor of 4;
- 3. Consultant's firm size as related to the estimated project cost, weighting factor of 3;
- 4. Consultant's past performance on similar DOTD projects, weighting factor of 6;\*
- 5. Consultant's current work load, weighting factor of 5;
- 6. Location where the work will be performed, weighting factor of 4.\*\*

Consultants with no past performance rating in a rating category will be assigned the average rating of the firms submitting with ratings capped at the statewide average rating for that category as of the closed date of advertisement.

## **Complexity level- normal**

Consultants will be evaluated as indicated in Items 1-6. The evaluation will be by means of a point-based rating system. Each of the above criteria will receive a rating on a scale of 0-4. Then the rating will be multiplied by the corresponding weighting factor. The firm's ratings in each category will then be added to arrive at the Consultant's final rating.

If Sub-Consultants are used, the Prime Consultant must perform a minimum of 51% of the work for the overall project. Each member of the Consultant/Team will be evaluated on their part of the contract, proportional to the amount of their work. The individual team member ratings will then be added to arrive at the Consultant/Team rating.

<sup>\*</sup>The Location and Survey (LS) performance rating will be used for this project.

<sup>\*\*</sup>Location will be based from Gonzales, Louisiana.

## **Communication Protocol**

DOTD's Project Evaluation Team will be responsible for performing the above described evaluation, and will present a short-list of the three (if three are qualified) highest rated Consultants to the Secretary of the DOTD. The Secretary will make the final selection. Below are the proposed Team members. DOTD may substitute for any reason provided the members meet the requirements of R.S. 48:291.

- 1. Hadi Shirazi Ex officio
- 2. Joseph Arretteig Project Manager
- 3. Mark Hughes
- 4. Keith Fournier
- 5. Stan Ard
- 6. Carl Hultgren

## Rules of Contact (Title 48 Engineering and Related Services)

These rules are designed to promote a fair, unbiased, legally defensible selection process. The LA DOTD is the single source of information regarding the Contract selection. The following rules of contact will apply during the Contract selection process and will commence on the date of advertisement and cease at the contract execution by the selected firm. Contact includes face-to-face, telephone, facsimile, Electronic-mail (E-mail), or formal written communications. Any contact determined to be improper, at the sole discretion of the LA DOTD, may result in the rejection of the submittal (24-102):

- A. The Consultant shall correspond with the LA DOTD regarding this advertisement only through the LA DOTD Consultant Contracts Services Administrator;
- B. Neither the Consultant, nor any other party on behalf of the Consultant, shall contact any LA DOTD employees, including but not limited to, department heads; members of the evaluation teams; and any official who may participate in the decision to award the contract resulting from this advertisement except through the process identified above. Contact between Consultant organizations and LA DOTD employees is allowed during LA DOTD sponsored one-on-one meetings;
- C. Any communication determined to be improper, at the sole discretion of the LA DOTD, may result in the rejection of submittal, at the sole discretion of the LA DOTD;
- D. Any official information regarding the project will be disseminated from the LA DOTD'S designated representative on the LA DOTD website. Any official correspondence will be in writing;
- E. The LA DOTD will not be responsible for any verbal exchange or any other information or exchange that occurs outside the official process specified herein.

By submission of a response to this RFQ, the Consultant agrees to the communication protocol herein.

# **CONTRACT REQUIREMENTS**

The selected Consultant will be required to execute the contract within 10 days after receipt of the contract.

**INSURANCE** - During the term of this contract, the Consultant will carry professional liability insurance in the amount of \$1,000,000. This insurance will be written on a "claims-made" basis. Prior to executing the contract, the Consultant will provide a Certificate of Insurance to DOTD showing evidence of such professional liability insurance.

**AUDIT** - The selected Consultant will allow the DOTD Audit Section to perform an annual overhead audit of their books, or provide an *independent* Certified Public Accountant (CPA) audited overhead rate. This rate must be developed using Federal Acquisition Regulations (FAR) and guidelines provided by the DOTD Audit Section. In addition, the Consultant will submit semi-annual labor rate information, when requested by DOTD.

The selected Consultant will maintain, an approved Project Cost System and segregate direct from indirect cost in their General Ledger. Pre-award and post audits, as well as interim audits, may be required. For audit purposes, the selected Consultant will maintain accounting records for a minimum of five years after final contract payment.

Any Consultant currently under contract with the DOTD and who failed to meet all the audit requirements documented in the manual and/or notices posted on the DOTD Consultant Contract Services Website (<a href="www.dotd.louisiana.gov">www.dotd.louisiana.gov</a>), will not be considered for this project.

## SUBMITTAL REQUIREMENTS

One original (stamped "original") and five copies of the DOTD Form 24-102 must be submitted to DOTD along with an electronic copy (USB flash drive only) in a searchable Portable Document Format (pdf). If you wish to have your flash drive returned, please include a postage paid, self-addressed envelope. All submittals must be in accordance with the requirements of this advertisement and the Consultant Contract Services Manual. Any Consultant/Team failing to submit any of the information required on the 24-102, or providing inaccurate information on the 24-102, will be considered non-responsive.

Multiple firms that are listed on a single proposal (e.g., prime consultants as well as listed sub-consultants) will be considered a "Team." Only one Consultant or Team will be selected for each contract to be awarded pursuant to a Request for Qualifications. A Team may submit more than one proposal reflecting different allocations of work or different combinations of prime and sub-consultants, but in no instance will a single Team be awarded more than one contract from a single Request for Qualifications.

Any Sub-Consultants to be used, including Disadvantaged Business Enterprises (DBE), in performance of this Contract, must also submit a 24-102, which is completely filled out and contains all information pertinent to the work to be performed.

The Sub-Consultant's 24-102 must be firmly bound to the Consultant's 24-102. In Section 8, the Consultant's 24-102 must describe the **work elements** to be performed by the Sub-Consultant(s), and state the approximate **percentage** of each work element to be subcontracted to each Sub-Consultant.

Contract employees may be allowed for a period of time for a particular element or task on a project. Contract employees should be shown in **Section 9a. Project Staffing Plan** with resumes included in **Section 10**.

<u>Use of contract employees requires prior approval by the Consultant Contract Services</u> <u>Section for each element or task on a project. The approval request shall be made</u> <u>prior to the submittal of the 24-102 form.</u>

Name(s) of the Consultant/Team listed on the 24-102, must precisely match the name(s) filed with the Louisiana Secretary of State, Corporation Division, and the Louisiana State Board of Registration for Professional Engineers and Land Surveyors.

The DOTD Form 24-102 will be identified with Contract Nos. 4400006382, and will be submitted prior to 3:00 p.m. CST on Wednesday, May 20, 2015, by hand delivery or mail, addressed to:

Department of Transportation and Development Attn.: Mr. Hadi Shirazi, P.E. Consultant Contracts Services Administrator 1201 Capitol Access Road, **Room 405-T** Baton Rouge, LA 70802-4438 or Telephone: (225) 379-1929

## **REVISIONS TO THE RFQ**

DOTD reserves the right to revise any part of the RFQ by issuing an addendum to the RFQ at any time. Issuance of this RFQ in no way constitutes a commitment by DOTD to award a contract. DOTD reserves the right to accept or reject, in whole or part, all Qualification Statements submitted and/or cancel this announcement if it is determined to be in DOTD's best interest. All materials submitted in response to this announcement become the property of DOTD and selection or rejection of a submittal does not affect this right. DOTD also reserves the right, at its sole discretion, to waive administrative informalities contained in the RFQ.