

[Abstract]STATE OF LOUISIANA
DEPARTMENT OF TRANSPORTATION AND DEVELOPMENT

IDIQ CONTRACT FOR RIGHT OF WAY SERVICES
CONTRACT NO. [4000XXXX]
STATEWIDE

THIS CONTRACT (hereinafter “Contract”) is made and entered into this _____ day of _____, 20____, by and between the Louisiana Department of Transportation and Development (hereinafter referred to as “DOTD”), and [Consultant], whose principal business address is [Consultant’s Address], (hereinafter referred to as “Consultant”).

Under the authority granted by Title 48 of the Louisiana Revised Statutes, DOTD has elected to engage Consultant to perform, and Consultant agrees to perform, the services described in the Scope of Services under the terms and conditions and for the compensation as stated in this contract.

ARTICLE I
ENTIRE AGREEMENT (March 2018)

This contract, together with advertisement of [Date of Advertisement], the DOTD Form 23-116 submitted by Consultant in response to the advertisement, and any attachments and exhibits to the forgoing, all of which are specifically incorporated herein by reference, constitute the entire agreement between the parties with respect to the subject matter. However, in the event of a conflict between the terms of this contract and referenced documents, this contract governs.

ARTICLE II
CONTRACT IDENTIFICATION (March 2018)

Contract No. [4000XXXX] has been assigned to this contract to identify costs. All invoices, progress reports, correspondence, etc., required in connection with this contract shall be identified with the DOTD project title, contract number, and Task Order (TO) number.

ARTICLE III
SCOPE OF SERVICES (March 2018)

The various tasks to be performed by Consultant are described more specifically in Attachment A, attached hereto and made a part of this contract. Consultant shall be required to execute a TO which shall specify the scope of services and compensation for each task detailed therein. Consultant shall submit any deliverable(s) required under a TO by the due date established for such deliverable(s) by the DOTD Project Manager (PM) in the Notice to Proceed (NTP) for that TO, as those due dates may be modified by the PM through any subsequently approved project schedule(s). The due date(s) for all deliverables shall be no later than the termination date of this contract. Deliverables shall be in such format as required in each executed TO. Each executed TO shall become a part of this contract.

Consultant shall perform the work in accordance with the terms of this contract under the direct supervision of a PM who shall be identified when a NTP is issued for the work. The work performed by Consultant under this contract shall be performed in a manner consistent with that degree of care and skill ordinarily exercised by members of the same profession currently practicing under similar circumstances.

ARTICLE IV
QUALITY ASSURANCE/QUALITY CONTROL (QA/QC) (March 2018)

Although DOTD may provide limited input and technical assistance to Consultant, Consultant is fully responsible for QA/QC of its work as well as the work of all sub-consultants.

ARTICLE V
CONTRACT TIME AND NOTICE TO PROCEED (March 2018)

This contract shall take effect on the date first written above. This contract, and any TO issued thereunder, shall remain in effect for a period of five years from the effective date of this contract. The services to be performed for each TO will be determined prior to the execution of the TO. Consultant will proceed with the services required in each TO upon issuance of an NTP from DOTD. Consultant shall submit any deliverable(s) required under a TO by the due date established for such deliverable(s) by the PM in the NTP for that TO, as those due dates may be modified by the PM through any subsequently approved project schedule(s). The due date(s) for all deliverables shall be no later than the termination date of this contract, and any TO issued pursuant to this contract shall terminate on the termination date of this contract.

ARTICLE VI
GENERAL REQUIREMENTS (March 2018)

It is the intent of this contract that, with the exception of the items specifically listed to be furnished by DOTD, Consultant shall, for the agreed compensation, obtain all data and furnish all services and materials required to fully develop and complete the required scope of services of each TO. All items required to accomplish these results, whether or not specifically mentioned in this contract and/or TOs, are to be furnished at a cost not to exceed the maximum compensation amount established for each TO under this contract. If an error or omission is detected by Consultant in data provided to Consultant by DOTD, Consultant shall notify DOTD and may request a adjustment of the project schedule.

ARTICLE VII
COMPENSATION (March 2018)

The maximum compensation payable to Consultant for all services rendered in connection with this contract shall be **\$500,000**.

Compensation to Consultant for services rendered in connection with each TO will, in DOTD's sole discretion, be made on the basis of cost per unit of work and shall be subject to the maximum limitation stated in the TO.

ARTICLE VIII
PAYMENT BASED ON COST PER UNIT OF WORK (March 2018)

Payments (on undisputed amounts) to Consultant for unit costs relating to line item deliverables delivered by Consultant and/or sub-consultant, shall be made monthly based on a standard certified correct and itemized invoice showing units delivered, line item unit cost, and amount owed.

The invoice shall show the total amount earned to the date of submission, and the amount due and payable as of the date of the invoice. **All invoices submitted for payment shall include the assigned Project Number and Task Order Number.**

A principal member of Consultant must sign, date, and certify the invoice for correctness. Each invoice shall be submitted to the PM.

Upon receipt of each invoice, DOTD shall check the invoice for correctness and return if required; upon acceptance and approval of a standard certified correct invoice, for services satisfactorily performed, DOTD shall pay the amount shown to be due and payable within thirty (30) calendar days.

All costs must comply with the requirements of 48 C.F.R. 31.

Payment for unit costs shall be based on the actual number of units delivered pursuant to this contract. Line item unit costs will be as set forth in each TO.

ARTICLE IX AUDIT (March 2018)

If Consultant is entitled to be reimbursed for direct and/or indirect costs of Consultant and/or any sub-consultants pursuant to this contract, the consultant/sub-consultant must maintain an approved project cost system and segregate direct from indirect cost in its general ledger. Pre-award and post audits, as well as interim audits, may be required.

ARTICLE X ADDITIONAL WORK (March 2018)

Minor revisions in the described work for each TO shall be made by Consultant without additional compensation as the work progresses. Considerations for minor revisions have been included in the compensation computations. If DOTD requires more substantial revisions or additional work which Consultant believes warrant additional compensation, Consultant shall notify DOTD in writing within thirty (30) calendar days of being instructed to perform such work.

Consultant shall not commence additional work for which Consultant intends to seek additional compensation unless and until written authority to proceed has been given by DOTD.

If DOTD disagrees that additional compensation is due for the required work, it shall be Consultant's responsibility to perform the work and submit a claim to the DOTD PM, including any and all supporting documentation, within ninety (90) calendar days of completion of the work that gave rise to the claim.

ARTICLE XI OWNERSHIP OF DOCUMENTS (March 2018)

All data collected by Consultant and all documents, notes, drawings, tracings, and files collected or prepared in connection with this work, except Consultant's personnel and administrative files, shall become and be the property of DOTD and copies thereof shall be delivered to DOTD electronically upon request by DOTD. DOTD shall not be restricted in any way whatsoever in its use of such material.

No public news releases, technical papers, or presentations concerning any DOTD project may be made without the prior written approval of DOTD.

ARTICLE XII TERMINATION OR SUSPENSION (March 2018)

This contract shall be effective during the contract time provided above; however, this contract and/or associated TOs may be terminated earlier under any or all of the following conditions:

1. by mutual agreement and consent of the parties hereto;

2. by DOTD as a consequence of the failure of Consultant to comply with the terms, progress or quality of work in a satisfactorily manner, proper allowance being made for circumstances beyond the control of Consultant;
3. by either party upon failure of the other party to fulfill its obligations as set forth in this contract;
4. by DOTD due to the departure for whatever reason of any principal member or members of Consultant's firm;
5. by satisfactory completion of all services and obligations described herein; or
6. by DOTD giving thirty (30) calendar days' notice to Consultant in writing and paying compensation due for completed work.

Upon termination of this contract, Consultant shall deliver to DOTD all plans and records of the work compiled to the date of termination. DOTD shall pay in full for all work accomplished up to the date of termination, including any retained percentage earned to date.

If for any reason, DOTD wishes to suspend this contract and/or associated TO, it may do so by giving Consultant written notice that the contract or TO is suspended as of the notice date. Consultant shall stop all work on the contract or TO until such time as Consultant may receive written notification from the PM to resume work.

Consultant shall not have the authority to suspend work on this contract or any TO issued pursuant to this contract.

ARTICLE XIII INSURANCE REQUIREMENTS (March 2018)

During the term of this contract, Consultant shall carry professional liability insurance in the amount of **\$300,000**. Consultant shall provide or cause to be provided a Certificate of Insurance to DOTD showing evidence of such professional liability insurance.

ARTICLE XIV INDEMNITY (March 2018)

Consultant agrees to indemnify and save harmless DOTD against any and all claims, demands, suits, and judgments of sums of money (including attorney's compensation and cost for defense) to any party for loss of life or injury or damage to persons or properties arising out of, resulting from, or by reason of, any act or omission by Consultant, its agents, servants, or employees while engaged upon or in connection with the services required or performed by Consultant hereunder.

ARTICLE XV ERRORS AND OMISSIONS (March 2018)

It is understood that the preparation of deliverables, and all other work required of Consultant under contract shall meet DOTD's standard requirements as to general format and content, and shall be performed to the satisfaction and approval of DOTD. DOTD's review, approval, acceptance of, or payment for the services required under this contract shall not be construed to operate as a waiver of any of DOTD's rights or of any causes of action arising out of or in connection with the performance of this contract.

Consultant shall be responsible for the professional quality and accuracy of all deliverables and other services furnished by Consultant. If errors or omissions are discovered, Consultant shall, without additional compensation, correct or revise any deficiencies discovered. If errors or omissions are discovered prior to acceptance of deliverables and payment to Consultant, the work

shall be returned for correction and payments shall be withheld until delivery of an acceptable product. If errors or omissions are discovered subsequent to acceptance of deliverables and payment to Consultant, DOTD may, in its sole discretion, either demand that Consultant promptly correct the errors at no cost to DOTD or make corrections using DOTD staff, in which case Consultant shall be responsible for costs incurred by DOTD to make the corrections.

**ARTICLE XVI
COMPLIANCE WITH LAWS (March 2018)**

Consultant shall comply with all applicable federal, state and local laws and ordinances, as shall all others employed by it in carrying out the provisions of this contract.

The parties agree to abide by the requirements of the following as applicable: Titles VI and Title VII of the Civil Rights Act of 1964, as amended; the Equal Opportunity Act of 1972, as amended; Federal Executive Order 11246, as amended; the Rehabilitation Act of 1973, as amended; the Vietnam Era Veterans' Readjustment Assistance Act of 1974; Title IX of the Education Amendments of 1972; the Age Discrimination Act of 1975; the Americans with Disabilities Act of 1990, as amended, and Title II of the Genetic Information Nondiscrimination Act of 2008.

The parties agree not to discriminate in employment practices, and shall render services under the contract without regard to race, color, age, religion, sex, national origin, veteran status, genetic information, political affiliation, disability, or age in any matter relating to employment.

Any act of discrimination committed by either party, or failure to comply with these statutory obligations, when applicable, shall be grounds for termination of this contract.

**ARTICLE XVII
ANTI-SOLICITATION AND ANTI-LOBBYING COVENANT (March 2018)**

Consultant warrants that it has not employed or retained any company or person, other than a bona fide employee working solely for Consultant, to solicit or secure this contract, and that it has not paid or agreed to pay any company or person, other than a bona fide employee working solely for Consultant, any fee, commission, percentage, brokerage fee, gifts, or any other consideration, contingent upon or resulting from the award or making of this contract. Consultant further warrants that it has executed a certification and disclosure form as required under 49 CFR 20, and that all information on the form is true and correct. For breach or violation of these warranties, DOTD shall have the right to annul this contract without liability, or in its discretion, to deduct from the contract price or consideration, or otherwise recover, the full amount of any fee, commission, percentage, brokerage fee, gift, or contingent fee paid in violation of the warranties made in this Article.

No legislator or person who has been certified by the Secretary of the State as elected to the legislature or member of any board or commission, members of their families or legal entities in which the legislator, person or board or commission member has an interest, may derive any benefit from this contract or share in any part of this contract in violation of the Louisiana Code of Governmental Ethics (La. R.S. 42:1101 *et seq.*).

**ARTICLE XVIII
CODE OF GOVERNMENTAL ETHICS (March 2018)**

Consultant acknowledges that Chapter 15 of Title 42 of the Louisiana Revised Statutes (La. R.S. 42:1101 *et seq.*, Code of Governmental Ethics) applies to Consultant in the performance of

services called for in this contract. Consultant agrees to immediately notify the State if potential violations of the Code of Governmental Ethics arise at any time during the term of this contract.

ARTICLE XIX
DISADVANTAGED, MINORITY, AND WOMEN-OWNED
BUSINESS ENTERPRISE REQUIREMENTS (June 2018)

If a DBE Goal is required, use this paragraph, % may change; Check Advertisement for DBE Goal.

This contract shall have a Disadvantaged Business Enterprise (DBE) goal of **XX%** of the contract fee. DBE participation will be limited to the firms certified pursuant to the Louisiana Unified Certification Program. For convenience, DOTD provides a list on its website (<http://www8.dotd.la.gov/UCP/UCPSearch.aspx>) of firms that have been certified as eligible to participate as DBEs on US DOT assisted contracts. This list is not an endorsement of the quality of performance of any firm but is simply an acknowledgment of the listed firms' eligibility as a DBE. DOTD makes no representations of the accuracy or completeness of this list on any particular date or time. Prime consultants considering the use of a particular DBE sub-consultant are advised to obtain documentation of certification status from that sub-consultant. Credit will only be given for use of DBEs that are certified by the Louisiana Unified Certification Program. Consultant shall submit with each invoice presented to DOTD for payment a completed DBE Form 1, "DBE Participation Monthly Report" (Attachment D). This Form must be completed and submitted by Consultant regardless of whether the invoice includes effort by the DBE during the period covered by that invoice. In the event of no effort by a DBE during the period covered by the invoice, Consultant shall simply indicate that on the form. The PM shall review submitted invoices and their corresponding DBE Form 1 to determine if the DBE goals are being achieved. If Consultant has failed to meet the goal and no good faith efforts have been made, the PM shall notify the Compliance Section of DOTD, and at that time the DBE portion of the contract fee may be withheld from Consultant.

These paragraphs remain in all contracts:

If a Disadvantaged Business Enterprise (DBE) goal has been assigned, Consultant agrees to ensure that DBEs, as defined in 49 CFR 26, have a reasonable opportunity to participate in the performance of this contract, and in any subcontracts related to this contract. In this regard, Consultant shall take all necessary and reasonable steps in accordance with 49 CFR 26 to ensure that DBEs have a reasonable opportunity to compete for and perform services relating to this contract. Furthermore, Consultant shall not discriminate on the basis of race, color, national origin, or sex in the performance of this contract. Consultant shall carry out applicable requirements of 49 CFR part 26 in the performance, award, and administration of this contract and any related subcontracts.

If a DBE sub-consultant performs services in connection with this contract, Consultant shall provide to DOTD a copy of the contract between Consultant and the DBE sub-consultant. Consultant shall also pay the DBE sub-consultant in full for services satisfactorily performed, and such payment shall be made within thirty (30) calendar days of receipt of payment from DOTD for those services. In the event that a DBE goal has been assigned to this contract and retainage is held on Consultant, DOTD will release such retainage for each stage upon satisfactory completion of each stage, and Consultant shall make payment to the DBE sub-consultant of any retained amounts within thirty (30) calendar days of release of associated retainage from DOTD.

Regardless of whether a DBE goal has been assigned to this contract, Consultant shall submit to the PM a completed DBE Form 1, "DBE Participation Monthly Report" (Attachment D) with each monthly invoice when the invoice includes effort by a DBE sub-consultant and a completed DBE Form 2, "DBE Participation Final Report" (Attachment E), with the final invoice.

Further, regardless of whether or not a DBE goal has been assigned to this contract, Consultant shall comply with all requirements of 2 CFR 200.321 regarding minority- and women-owned business enterprises.

Failure to carry out the above requirements shall constitute a breach of this contract. After proper notification by DOTD, immediate remedial action shall be taken by Consultant as deemed appropriate by DOTD or the contract may be terminated. The option shall rest with DOTD.

The above requirements shall be physically included in all subcontracts entered into by Consultant.

ARTICLE XX
SUBLETTING, ASSIGNMENT, OR TRANSFER (March 2018)

This contract shall be binding upon the successors and assignees of the respective parties hereto. This contract, or any portion thereof, shall not be transferred, assigned, or sublet without the prior written consent of DOTD.

ARTICLE XXI
RECORDS RETENTION (March 2018)

Consultant and its sub-consultants shall maintain all books, documents, papers, accounting records and other evidence pertaining to cost incurred relative to this contract. Costs shall be in accordance with 48 CFR 31 of the FAR, as modified by the DOTD audit guidelines, and which are incorporated herein by reference as if copied *in extenso*. The FAR is available for inspection through www.transportation.org. Records shall be retained until such time as an audit is made by DOTD or Consultant is released in writing by the DOTD Audit Director, at which time Consultant may dispose of such records. Consultant shall, however, retain such records for a minimum of five years from the date of payment of the last estimate under this contract for inspection by the DOTD and/or Louisiana Legislative Auditor, the FHWA, or Government Accountability Office under state and federal regulations effective as of the date of this contract.

ARTICLE XXII
SEVERABILITY (March 2018)

If any term, covenant, condition, or provision of this contract or the application thereof to any person or circumstance shall, at any time or to any extent, be invalid or unenforceable, the remainder of this contract or the application of such term, covenant, condition or provision to persons or circumstances other than those as to which is held invalid or unenforceable, shall not be affected thereby, and each term, covenant, condition, and provision of this contract shall be valid and enforced to the fullest extent permitted by law.

ARTICLE XXIII
SUPPLEMENTAL ETHICS REQUIREMENTS (July 2020)

Consultant warrants that, to the best of its knowledge, it, its employees, or agents have not, and for the duration of this contract will not, do any of the following:

1. Provide any food, drink, admission, accommodation, travel or gift, regardless of value, to any member of a DOTD project evaluation team (PET), unless specifically authorized in writing in advance by the DOTD Chief Engineer.
2. Have any contact with a member of a DOTD PET relating to advertisements, or projects on the projected advertisement list, other than through official DOTD CCS procedures. Ordinary business interactions between PET members and consultants regarding other projects (e.g., projects currently under contract), when carried out during normal working hours and in the workplace, are not a violation of this policy and can continue as normal.

Consultant further warrants that it will immediately notify the DOTD CCS Administrator, in writing, upon becoming aware of any intentional or unintentional violation of this policy, any ethics violation, or any action of a DOTD employee outside the course and scope of his/her job duties that impacts the business interests of Consultant.

The requirements of this Article shall be physically included in all subcontracts entered into by Consultant.

IN WITNESS WHEREOF, the parties hereto have caused these presents to be executed by their respective officers thereunto duly authorized as of the day and year first above written.

WITNESSES:

[Consultant]

Witness for First Party

BY: _____

Witness for First Party

[Enter Name of Principal]

[Enter Title of Principal]

[Enter Identification Number]

Choose an item.

STATE OF LOUISIANA
DEPARTMENT OF TRANSPORTATION
AND DEVELOPMENT

Witness for Second Party

BY: _____

Secretary

Witness for Second Party

RECOMMENDED FOR APPROVAL BY:

Division Head

FHWA Approval Date: 4/20/2018