# ENGINEERING AND RELATED SERVICES July 1, 2016

CONTRACT NO. 4400008804
PAVEMENT DISTRESS DATA COLLECTION
STATEWIDE

Under Authority granted by Title 48 of Louisiana Revised Statutes, the Louisiana Department of Transportation and Development (DOTD) hereby issues a Request for Qualification Statements (RFQ) on DOTD Form 24-102 (24-102), "Professional Engineering and Related Services", revised November 2011, from Consulting Firms (Consultant) to provide engineering and related services. Consultants who are a Louisiana or foreign LLC or corporation should be appropriately registered with the Louisiana Secretary of State, as contemplated by Title 12 of the Louisiana Revised Statutes, and with LAPELS (for engineering and surveying services) under it's rules for FIRMS. If a Consultant fails to place itself in good standing in accordance with those provisions, it may be subject to consequences contemplated in Title 12 and/or the LAPELS rules. All requirements of Louisiana Professional Engineering and Land Surveying (LAPELS) Board (for engineering and surveying services) must be met and the Prime consultants must be registered with the Federal Government using SAM.gov prior to contract execution. One Prime-Consultant/Sub-Consultant(s) will be selected for this Contract.

DOTD employees may not submit a proposal, nor be included as part of a Consultant's team.

# **Project Manager – Chris Fillastre**

All inquiries concerning this advertisement should be sent in writing to edward.wedge@la.gov and heather.huval@la.gov.

#### PROJECT DESCRIPTION

The selected Consultant will provide all necessary engineering, technology and related services required to collect forward facing perspective images, right facing right-of-way images, pavement images, pavement distress data and pavement management data necessary to digitally quantify the Network Level Condition of the State and Federal roadways in Louisiana.

#### SCOPE OF SERVICES

Please see **Attachment A** for the Scope of Services.

## QUALITY CONTROL/QUALITY ASSURANCE

The DOTD requires the Consultant to develop a Quality Control/Quality Assurance program; in order to provide a mechanism by which all contracted services can be subject to a systematic and consistent review. Consultants must ensure quality and adhere to established design policies, procedures, standards, and guidelines in the preparation and review of all design products. The DOTD shall provide limited input and technical assistance to the Consultant.

### ITEMS TO BE PROVIDED BY DOTD

DOTD's dTIMS CT import database Louisiana Distress Identification Protocols DOTD's control section database file DOTD data dictionaries Pavement types for all State maintained routes Additional items to be provided as shown in Scope (Attachment A)

## **CONTRACT TIME**

The Consultant shall proceed with the services specified herein after the execution of this Contract and upon written Notice-To-Proceed from the DOTD. The overall contract time to complete this Project is estimated to be **six years** covering three data collection cycles. The delivery schedule for all Project deliverables shall be established by the Project Manager.

#### COMPENSATION

Compensation to the Consultant for services rendered in connection with this Contract will be a negotiated lump sum.

Within 15 calendar days of notification of selection, a kick-off meeting will be held with the selected Consultant/Team and appropriate DOTD personnel. The selected Consultant/Team will be required to submit a proposal within 30 calendar days following the notification of selection. All negotiations must be completed within 60 calendar days following the notification of selection.

### **DIRECT EXPENSES**

All direct expense items which are not paid for in the firm's overhead which are needed and will be consumed during the life of the contract must be identified by the consultant during contract development. Standard equipment to be used in the provision of services rendered for this contract will not be considered for payment under direct expenses. Failure to provide the above information will deem items as non-qualifying for direct expenses.

The consultant shall provide a minimum of three rate quotes for any specialty vehicle or equipment. Any and all items for which said quotes are not submitted shall be deemed as non-qualifying for payment as direct expenses.

#### REFERENCES

All services and documents will meet the standard requirements as to format and content of the DOTD; and will be prepared in accordance with the latest applicable editions, supplements and revisions of the following:

- 1. AASHTO Standards, ASTM Standards or DOTD Test Procedures
- 2. Consultant Contract Services Manual
- 3. DOTD Highway Inventory Data Collection Manual
- 4. FHWA Highway Performance Monitoring System Field Manual

Follow link below for the individual reference links:

http://webmail.dotd.louisiana.gov/ContWEB.nsf/b88769326453bef886256fe00047183a/18fc2860512aba5886257a62006133b8?OpenDocument

# MINIMUM PERSONNEL REQUIREMENTS

The following requirements must be met by the Prime-Consultant at the time of submittal:

- 1. At least one of the Principals of the Prime-Consultant shall have experience in pavement data collection methods (visual, automated methods, road profilers, etc.) and in pavement data collection, analysis, and quality control and assurance.
- 2. At least one Principal or other Responsible Member of the Prime-Consultant must have a minimum of five years of experience in pavement data collection and quality assurance is required.
- 3. At least one Pavement Data Collection and Analysis Quality Assurance Manager who has <u>five years of experience</u> in pavement data collection and quality assurance is required.
- 4. At least one GIS Analyst with five years of GIS experience.

Training Certifications/Certifications of Compliance must be submitted with and made part of the Consultants DOTD Form 24-102 for all Personnel Requirements listed herein.

# WORK ZONE TRAINING REQUIREMENTS (PRE-CONSTRUCTION SERVICES)

As part of DOTD's on-going commitment to work zone safety, required work zone training courses must now be taken every four years in order for personnel to remain eligible to work on DOTD projects. For consultants performing pre-construction services (i.e., design, survey, subsurface utility, geotechnical, traffic, bridge inspection, environmental services), appropriate personnel must take these courses. In general, the responsible charge of traffic control plans shall be required to have Traffic Control Supervisor training. For field services performed within the clear zone, at least one member of the field crew shall have Traffic Control Supervisor or Traffic Control Technician training. Consultant should identify all personnel listed in the staffing plan for the project that have completed the appropriate work zone training courses. Current certifications of compliance for this training should be submitted with and made part of Consultant's DOTD Form 24-102. The consultant shall explain in Section 13 of DOTD Form 24-102 how they plan to meet the work zone requirements. requirements shall be met prior to contract execution. It will be the prime consultant's responsibility to ensure their staff and sub-consultants have the appropriate work zone training.

The above requirements are the minimum to perform work on DOTD projects. It is desired that all staff have work zone training as shown below:

Engineers: Traffic Control Technician

Traffic Control Supervisor

Flagger

Engineer Interns: Traffic Control Technician

Traffic Control Supervisor

Flagger

Field Senior Technicians, Survey Party Chiefs, and

SUE Worksite Traffic Supervisors: Traffic Control Technician

Traffic Control Supervisor

Flagger

Field Personnel: Traffic Control Technician

Flagger

Approved courses are offered by ATSSA and AGC. Substitutes for these courses must be approved by the LA DOTD Work Zone Task Force. Specific training course requirements are:

Flagger: Successful completion every four years of a work zone flagger

course approved by the Department. The "DOTD Maintenance Basic Flagging Procedures Workshop" is not an acceptable

substitute for the ATSSA and AGC flagging courses.

Traffic Control Technician (TCT): Successful completion every four years of a work zone traffic control technician course approved the Department. After initial successful completion, it is not necessary to retake this course every four years if Traffic Control Supervisor training is completed every four years.

Traffic Control Supervisor (TCS): Successful completion of a work zone traffic control supervisor course approved by the Department. Following an initial completion, traffic control supervisors must either complete a 1-day TCS refresher course or retake the original 2-day TCS course every four years.

ATSSA contact information: (877) 642-4637

#### **EVALUATION CRITERIA**

The general criteria to be used by DOTD in evaluating responses for the selection of a Consultant to perform these services are:

- 1. Consultant's firm experience on similar projects, weighting factor of 3;
- 2. Consultant's personnel experience on similar projects, weighting factor of 4;
- 3. Consultant's firm size as related to the estimated project cost, weighting factor of 3;\*
- 4. Consultant's past performance on similar DOTD projects, weighting factor of 1; \*
- 5. Consultant's current work load with DOTD, weighting factor of 1;\*
- 6. Location where the work will be performed, weighting factor of 1;\*
- 7. Consultant's proposed work plan (Section 13 of SF 24-102), weighting factor of 6\*\*
- 8. Consultant's Field Trials and Tier II Presentations, weighting factor of 6

\*\*Work plan- The Consultant should state in their proposal how they plan to deliver all of the requirements and deliverables identified in the Scope of Services of this RFQ. This includes providing the various items and deliverables, identified in this section, which will reasonably allow DOTD to assess the Consultant's ability to successfully complete this project. Note: Plan can exceed the four page max as stated in Section 13 of the Form 24-102.

<sup>\*</sup> All respondents will receive a 5 in this category

## **Complexity Level- complex**

**TIER I Evaluation:** The Consultant will be evaluated for items 1 thru 7. The evaluation will be by means of a point-base rating system. Each of the above criteria will receive a rating on a scale of 1-5.

TIER II Evaluation: The highest rated Consultant's on the TIER I short-list (a minimum of three and a maximum of five, if qualified) will be asked to attend a Field Trial (Item 8) within three weeks of the announcement of the alphabetical TIER I short-list. The Consultant will be required to demonstrate their ability to collect and reduce data over two complete Control Sections in both directions and a one mile segment. There will be no compensation for this demonstration. The demonstration will provide DOTD evidence of the Firm's understanding of the project requirements. The schedule of demonstration will be given to all Consultant's via email following the pre-submittal meeting. The pre-submittal meeting will be held July 18, 2016 at the DOTD Headquarters Auditorium. Note: An explanation of grading procedures and compensation will be presented at the mandatory pre-submittal meeting (see attachment B for grading procedures and attachment C for compensation).

Consultants will be evaluated as indicated in Items 1-8. The evaluation will be by means of a point-based rating system. Each of the above criteria will receive a rating on a scale of 1-5. The rating will then be multiplied by the corresponding weighting factor. The firm's rating in each category will then be added to arrive at the Consultant's final rating.

If Sub-Consultants are used the Prime Consultant must perform a minimum of 51% of the work for the overall project. Each member of the Consultant/Team will be evaluated on their part of the contract, proportional to the amount of their work. The individual team member ratings will then be added to arrive at the Consultant/Team rating.

DOTD's Project Evaluation Team will be responsible for performing the Tier I evaluations. The Tier I and the Tier II rating will be used to develop the overall shortlist. An overall short-list of the three (if three are qualified) highest rated Consultants to the Secretary of the DOTD. The Secretary will make the final selection. Below are the proposed Team members. DOTD may substitute for any reason provided the members meet the requirements of R.S. 48:291.

- 1. Edward Wedge Ex officio
- 2. Chris Fillastre– Project Manager
- 3. Ashley Horne
- 4. Mark Suarez
- 5. Jason Chapman
- 6. Nathan Baylot

Rules of Contact (Title 48 Engineering and Related Services)

These rules are designed to promote a fair, unbiased, legally defensible selection process. The LA DOTD is the single source of information regarding the Contract selection. The

following rules of contact will apply during the Contract selection process and will commence on the date of advertisement and cease at the contract execution of the selected firm. Contact includes face-to-face, telephone, facsimile, Electronic-mail (E-mail), or formal written communications. Any contact determined to be improper, at the sole discretion of the LA DOTD, may result in the rejection of the submittal (24-102):

- A. The Consultant shall correspond with the LA DOTD regarding this advertisement only through the LA DOTD Consultant Contracts Services Administrator;
- B. Neither the Consultant, nor any other party on behalf of the Consultant, shall contact any LA DOTD employees, including but not limited to, department heads; members of the evaluation teams; and any official who may participate in the decision to award the contract resulting from this advertisement except through the process identified above. Contact between Consultant organizations and LA DOTD employees is allowed during LA DOTD sponsored one-on-one meetings;
- C. Any communication determined to be improper, at the sole discretion of the LA DOTD, may result in the rejection of submittal, at the sole discretion of the LA DOTD;
- D. Any official information regarding the project will be disseminated from the LA DOTD'S designated representative on the LA DOTD website. Any official correspondence will be in writing;
- E. The LA DOTD will not be responsible for any verbal exchange or any other information or exchange that occurs outside the official process specified herein.

By submission of a response to this RFQ, the Consultant agrees to the communication protocol herein.

# **CONTRACT REQUIREMENTS**

The selected Consultant will be required to execute the contract within 10 days after receipt of the contract.

**INSURANCE** - During the term of this contract, the Consultant will carry professional liability insurance in the amount of \$1,000,000. The Prime-Consultant may require the Sub-Consultant(s) to carry professional liability insurance. This insurance will be written on a "claims-made" basis. Prior to executing the contract, the Consultant will provide a Certificate of Insurance to DOTD showing evidence of such professional liability insurance.

**AUDIT** - The selected Consultant/Team shall provide to the DOTD Audit Section an *independent* Certified Public Accountant (CPA) audited overhead rate developed in accordance with Federal Acquisition Regulations (FAR) and guidelines provided by the DOTD Audit Section. In addition, the selected Consultant/Team will allow the DOTD Audit Section to perform an overhead audit of its books, at the DOTD's sole discretion.

The performance of such an audit by the DOTD Audit Section shall not relieve the Consultant/Team of its responsibilities under this paragraph.

Consultants are also required to submit labor rate information twice a year to the DOTD's Audit Section and/or as requested by DOTD. Newly selected firms must have audited salaries and overhead rates on file with the DOTD's Audit Section before starting any additional stage/phase of their contracts. All Qualification Statements (24-102) submitted to DOTD by Consultants currently under contract may be considered non-responsive if the consultant is not in compliance with the above audit requirements.

Any Consultant currently under contract with the DOTD and who failed to meet all the audit requirements documented in the manual and/or notices posted on the DOTD Consultant Contract Services Website (<a href="www.dotd.louisiana.gov">www.dotd.louisiana.gov</a>), will not be considered for this project.

# SUBMITTAL REQUIREMENTS

One original (stamped "original") and five copies of the DOTD Form 24-102 must be submitted to DOTD along with an electronic copy (USB flash drive only) in a searchable Portable Document Format (pdf). If you wish to have your flash drive returned, please include a postage paid, self-addressed envelope. All submittals must be in accordance with the requirements of this advertisement and the Consultant Contract Services Manual.

Any Consultant/Team failing to submit any of the information required on the 24-102, or providing inaccurate information on the 24-102, will be considered non-responsive.

Any Sub-Consultants to be used, including Disadvantaged Business Enterprises (DBE), in performance of this Contract, must also submit a 24-102, which is completely filled out and contains all information pertinent to the work to be performed.

The Sub-Consultant's 24-102 must be firmly bound to the Consultant's 24-102. In Section 8, the Consultant's 24-102 must describe the **work elements** to be performed by the Sub-Consultant(s), and state the approximate **percentage** of each work element to be subcontracted to each Sub-Consultant.

Contract employees may be allowed for a period of time for a particular element or task on a project. Contract employees should be shown in **Section 9a. Project Staffing Plan** with resumes included in **Section 10**.

Use of contract employees requires prior approval by the Consultant Contract Services Section for each element or task on a project. The approval request shall be made prior to the submittal of the 24-102 form.

Name(s) of the Consultant/Team listed on the 24-102, must precisely match the name(s) filed with the Louisiana Secretary of State, Corporation Division, and the Louisiana State Board of Registration for Professional Engineers and Land Surveyors.

The DOTD Form 24-102 will be identified with Contract No. 4400008804, and will be submitted **prior to 3:00 p.m. CST** on Monday, August 8, 2016, by hand delivery or mail, addressed to:

Department of Transportation and Development 1201 Capitol Access Road, **Room 405-E** Baton Rouge, LA 70802-4438 Telephone: (225) 379-1733

# **REVISIONS TO THE RFQ**

DOTD reserves the right to revise any part of the RFQ by issuing an addendum to the RFQ at any time. Issuance of this RFQ in no way constitutes a commitment by DOTD to award a contract. DOTD reserves the right to accept or reject, in whole or part, all Qualification Statements submitted, and/or cancel this announcement if it is determined to be in DOTD's best interest. All materials submitted in response to this announcement become the property of DOTD, and selection or rejection of a submittal does not affect this right. DOTD also reserves the right, at its sole discretion, to waive administrative informalities contained in the RFQ.